

DOCKET NO.: MAX-0007

COPY OF PAPERS
ORIGINALLY FILED

214
2152
4
BT
PATENT
11-4-02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

OCT 30 2002

Technology Center 2100

In Re Application of:

Toneguzzo et al.

Serial No.: 10/070,491

Group Art Unit: Not yet assigned

Filing Date: August 1, 2002

Examiner: Not yet assigned

For: CONTENT FILTERING AND MANAGEMENT

RECEIVED

SEP 18 2002

Technology Center 2100

DATE OF DEPOSIT: 9/9/02

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

TYPED NAME: Michael J. Swope
REGISTRATION NO. 38,001

RECEIVED

SEP 30 2002

Technology Center 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).



In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first office action after the filing of request for continued examination under §1.114, no additional fee is required.

- ☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐the first or ☐second After Final Submission, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or before an action that otherwise closes prosecution in the application, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
- ☐ The fee of \$180.00 as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with §1.97(e); and the submission fee of \$180.00 as set forth in §1.17(p).
- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith.
- EXCEPT THAT:
- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

- ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.


Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Enclosed is a copy of the Search Report dated September 5, 2001, issued in corresponding International application No. PCT/AU01/00823, which indicates the references considered to be relevant.

There are no listed references which are not in the English language.

Date:

9/9/02



Michael J. Swope
Registration No. 38,041

WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439



COPY OF PAPERS
ORIGINAL FILED

Sheet 2 of 2

Form PTO-1449 Modified		Socket No. MAX-0007	Serial No. 10/070,491
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Toneguzzo et al.	
		Filing Date August 1, 2002	Group Not yet assigned
U.S. Department of Commerce Patent and Trademark Office			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	5	Search Report issued in International Application No. PCT/AU01/00823, Date of mailing: 05 September 2001	
		RECEIVED	
		SEP 3 0 2002	
		Technology Center 2100	
		RECEIVED	
		SEP 1 8 2002	
		Technology Center 2100	
EXAMINER		DATE CONSIDERED	